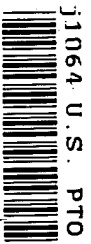


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11064 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhiqiang Zhang and  
Frances E. Lockwood )

Filed: Simultaneously Herewith )

Serial No: )

For: PREPARATION OF STABLE CARBON  
NANOTUBE DISPERSIONS IN LIQUIDS )

Atty. Docket No.: AD207/2001 )

Assistant Commissioner for Patents  
Box NEW APPLICATION  
Washington, D.C. 20231

PATENT APPLICATION TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing are:

- 1) The U.S. Utility Patent application of Zhiqiang Zhang and Frances E. Lockwood including specification and claims;
- 2) Unexecuted Declaration and Power of Attorney;
- 3) Information Disclosure Statement, list and copies of references;
- 4) Abstract of the Invention;
- 5) Check in the amount of \$750 for the filing fees;
- 6) Express Mail Certificate; and
- 7) A return postcard showing receipt of the above items.

Please charge any underpayment or credit any over payments to Counsel's Deposit Account 50-0642.

Respectfully submitted,

Date 12/12/01

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JC555 U.S. PTO

10/02/1767

12/12/01

10021767-121201

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Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail" Mailing Label Number EL 333998273US

Date of Deposit December 12, 2001

Dear Sir:

I hereby certify that the attached:

- 1) U.S. Utility Patent Application with specification, claims, Abstract, and unexecuted Declaration and Power of Attorney;
- 2) Information Disclosure statement including list and copies of references;
- 3) Check in the amount of \$750.00 for the filing fee; and
- 4) stamped return to addressee postcard showing receipt of the above-mentioned items;

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David Carrithers  
(Typed or printed name of person mailing paper or fee)

David W. Carrithers  
(Signature of person mailing paper or fee)